



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,862	02/07/2002	Limin He	24224-702

CONFIRMATION NO. 1424

## FORMALITIES LETTER



\*OC000000007589421\*

0219  
WILSON SONSINI GOODRICH & ROSATI  
1000 PAGE MILL ROAD  
PALO ALTO, CA 943041050

Date Mailed: 03/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1806.
  - \$882 for 49 total claims over 20.
  - \$924 for 11 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2676.

04/10/2002 MCHNMMH1 00000128 232415 10071862

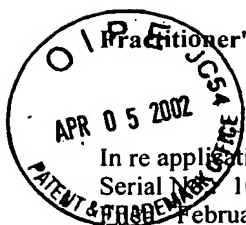
01 FC:201	370.00 CH
02 FC:203	441.00 CH
03 FC:202	462.00 CH
04 FC:205	65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

*Phuong Bui*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Limin He  
Serial No. 10/071,862  
Filed February 7, 2002

Group No.: 2825  
Examiner: Unassigned

For: Method And Apparatus For Scalable Interconnect Solution

Commissioner for Patents  
Washington, D.C. 20231

## COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed March 6, 2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

## SMALL ENTITY STATUS

- IV. Applicant claims small entity status. See 37 CFR 1.27.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$441.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$462.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$40.00</u>
6. Total completion fees	\$1,378.00

## REQUEST FOR CORRECTED FILING RECEIPT

- V. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Limin He, Saratoga, CA; So-Zen Yao, Fremont, CA; Wenyong Deng, San Jose, CA; Jing Chen, Fremont, CA; Liang-Jih Chao; Fremont, CA-
2. Entity status	- Small

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

## TOTAL FEE DUE

- VI. The total fee due is: Completion fees \$1,378.00 and Corrected Filing Receipt Fees \$25.00.

**TOTAL FEE DUE \$1,403.00**

## PAYMENT OF FEES

- VII. Charge Account No. 23-2415 (24224-702) in the amount of \$1,403.00.  
Please charge Account No. 23-2415 (24224-702) for any fees that may be due by this paper.

  
Kenta Suzue, Reg. No. 45,145

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

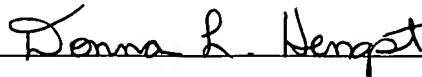
## CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.10)

"Express Mail" Mailing Label No. 778599895 US.

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: **Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.**

Date: April 5, 2002.

Donna L. Hengst



**RECEIVED**

MAR 11 2002  
 WILSON SONSINI,  
 GOODRICH & ROSATI

UNITED STATES PATENT AND TRADEMARK OFFICE

Plat Design  
 24224-702  
 PSC  
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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/071,862	02/07/2002	2825	0.00	24224-702	9	69	14

CONFIRMATION NO. 1424

**FILING RECEIPT****DOCKETED**

Date Mailed: 03/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Limin He, [Residence Not Provided] Saratoga, CA;  
 So-Zen Yao, [Residence Not Provided] Fremont, CA;  
 WenYong Deng, [Residence Not Provided] San Jose, CA;  
 Jing Chen, [Residence Not Provided] Fremont, CA;  
 Liang-Jih Chao, [Residence Not Provided] Fremont, CA--.

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/271,515 02/26/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 03/06/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Method and apparatus for scalable interconnect solution

**Preliminary Class**